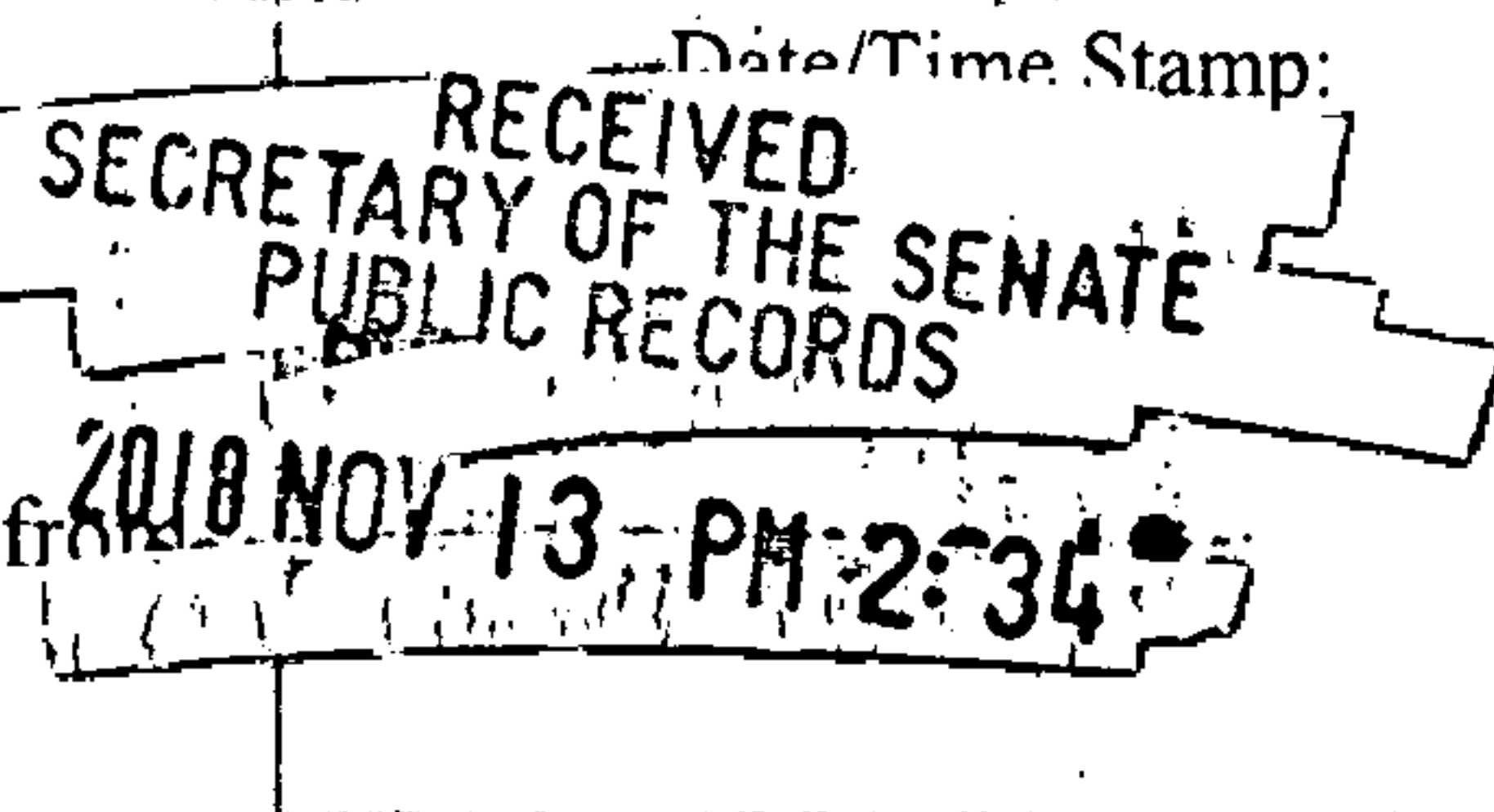


Employee Post-Travel Disclosure of Travel Expenses



Post-Travel Filing Instructions: Complete this form within 30 days of returning from travel. Submit all forms to the **Office of Public Records in 232 Hart Building.**

In compliance with Rule 35.2(a) and (c), I make the following disclosures with respect to travel expenses that have been or will be reimbursed/paid for me. I also certify that I have attached:

☒ The **original** *Employee Pre-Travel Authorization* (Form RE-1), **AND**

☒ A **copy** of the *Private Sponsor Travel Certification Form* with all attachments (itinerary, invitee list, etc.)

The Software Education Foundation d.b.a. Software.org: the BSA Foundation ("Software.org")

Private Sponsor(s) (list all): _____

Travel date(s): October 22, 2018 - October 24, 2018

Name of accompanying family member (if any): _____

Relationship to Traveler: ☐ Spouse ☐ Child

IF THE COST OF LODGING **DID NOT INCREASE** DUE TO THE ACCOMPANYING SPOUSE OR DEPENDENT CHILD, ONLY INCLUDE LODGING COSTS IN EMPLOYEE EXPENSES. (Attach additional pages if necessary.)

Expenses for Employee:

	Transportation Expenses	Lodging Expenses	Meal Expenses	Other Expenses (Amount & Description)
<input checked="" type="checkbox"/> Good Faith Estimate <input type="checkbox"/> Actual Amount	\$815.15 (\$440.15 airfare; \$375, coach bus transportation)	\$367 (\$208 Sunnyvale; \$159 Oakland)	\$190.00	None

Expenses for Accompanying Spouse or Dependent Child (if applicable):

	Transportation Expenses	Lodging Expenses	Meal Expenses	Other Expenses (Amount & Description)
<input type="checkbox"/> Good Faith Estimate <input type="checkbox"/> Actual Amount				

Provide a description of all meetings and events attended. See Senate Rule 35.2(c)(6). (Attach additional pages if necessary.): See attached.

11/8/18
(Date)

Julie Klein
(Printed name of traveler)

[Signature]
(Signature of traveler)

TO BE COMPLETED BY SUPERVISING MEMBER/OFFICER:

I have made a determination that the expenses set out above in connections with travel described in the *Employee Pre-Travel Authorization* form, are necessary transportation, lodging, and related expenses as defined in Rule 35.

11/8/18
(Date)

[Signature]
(Signature of Supervising Senator/Officer)

EMPLOYEE PRE-TRAVEL AUTHORIZATION

Pre-Travel Filing Instructions: Complete and submit this form at least 30 days prior to the travel departure date to the **Select Committee on Ethics in SH-220**. Incomplete and late travel submissions will not be considered or approved. This form must be typed and is available as a fillable PDF on the Committee's website at ethics.senate.gov. Retain a copy of your entire pre-travel submission for your required post-travel disclosure.

Name of Traveler: Julie Klein

Employing Office/Committee: Homeland Security and Governmental Affairs Committee

Private Sponsor(s) (list all): The Software Education Foundation d.b.a Software.org: The BSA Foundation ("Software.org")

Travel date(s): October 22, 2018 - October 24, 2018

Note: If you plan to extend the trip for any reason you must notify the Committee.

Destination(s): Sunnyvale and Oakland, California (San Francisco Bay Area).

Explain how this trip is specifically connected to the traveler's official or representational duties:

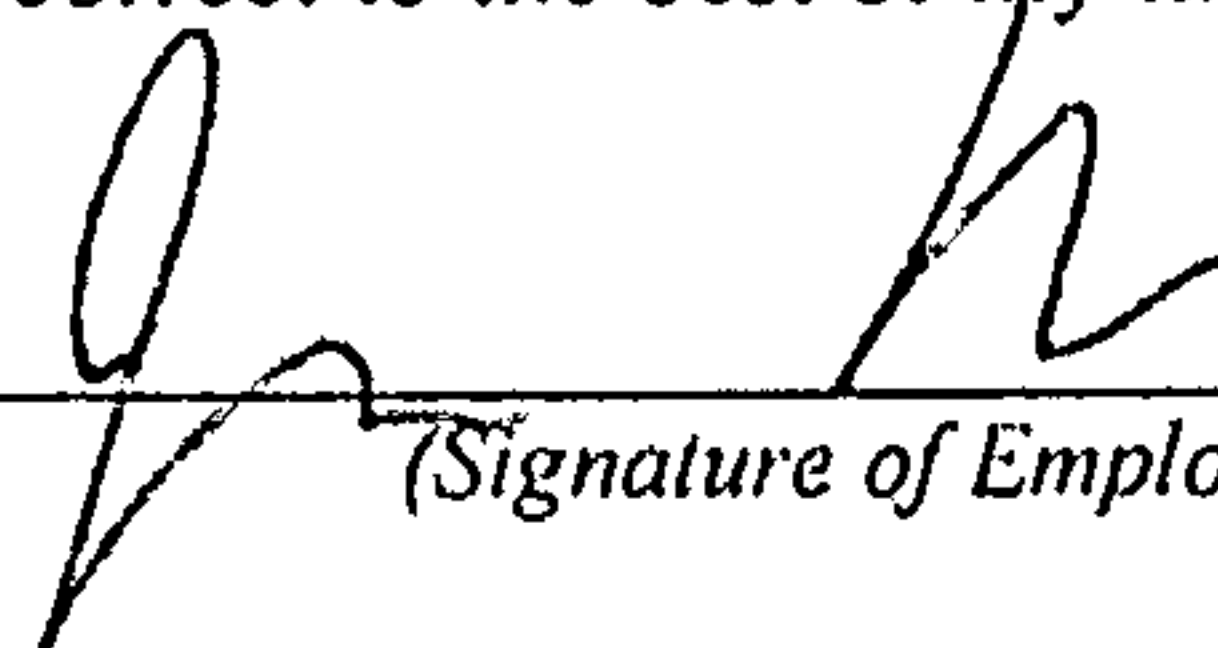
I am the lead cybersecurity policy staffer for the Minority on the Senate Homeland Security Committee. This is a cybersecurity trip to Silicon Valley to meet with tech companies and discuss cybersecurity matters facing the national and our homeland security.

Name of accompanying family member (if any): _____

Relationship to Employee: ☐ Spouse ☐ Child

I certify that the information contained in this form is true, complete and correct to the best of my knowledge:

10/9/18
(Date)


(Signature of Employee)

TO BE COMPLETED BY SUPERVISING SENATOR/OFFICER (President of the Senate, Secretary of the Senate, Sergeant at Arms, Secretary for the Majority, Secretary for the Minority, and Chaplain):

I, Senator McCaskill hereby authorize Julie Klein
(Print Senator's/Officer's Name) (Print Traveler's Name)

an employee under my direct supervision, to accept payment or reimbursement for necessary transportation, lodging, and related expenses for travel to the event described above. I have determined that this travel is in connection with his or her duties as a Senate employee or an officeholder, and will not create the appearance that he or she is using public office for private gain.

I have also determined that the attendance of the employee's spouse or child is appropriate to assist in the representation of the Senate. (signify "yes" by checking box) ☐

10/9/18
(Date)


(Signature of Supervising Senator/Officer)

Klein, Julie (HSGAC)

From: Dori Friedberg <dori@software.org>
Sent: Tuesday, August 7, 2018 6:44 PM
To: Dori Friedberg
Subject: Cybersecurity Staff Del

All - Thank you for your interest in a senior staff cybersecurity delegation to San Francisco. We are working closely with industry staff to finalize meetings and dates.


Understanding the senior staff joining this delegation have particularly demanding schedules, please advise how the date sets below work for you. We understand session or unexpected events could complicate travel.

- Option 1: Sunday Oct 21 (Dinnertime SF arrival) –Tuesday Oct. 23 (Return to DC around 9pm)
- Option 2: Monday Oct. 22 (Early afternoon SF arrival) –Wednesday Oct. 24 (Return to DC around 9pm)
- Option 3: Wednesday October 24 (Early afternoon SF arrival) –Friday Oct. 26 (Return to DC around 9pm)
- Option 4: Sunday Oct 28 (Dinnertime SF arrival) – Tuesday Oct 30 (Return to DC around 9pm)

We will follow up shortly with final dates and a draft agenda. Thank you for your time.

Dori

Dori Friedberg
Senior Director
202-530-5172

 software.org

PRIVATE SPONSOR TRAVEL CERTIFICATION FORM

This form must be completed by any private entity offering to provide travel or reimbursement for travel to Senate Members, officers, or employees (Senate Rule 35, clause 2). Each sponsor of a fact-finding trip must sign the completed form. The trip sponsor(s) must provide a copy of the completed form to each invited Senate traveler, who will then forward it to the Ethics Committee with any other required materials. The trip sponsor(s) should **NOT** submit the form directly to the Ethics Committee. Please consult the accompanying instructions for more detailed definitions and other key information.

The Senate Member, officer, or employee **MUST** also provide a copy of this form, along with the appropriate travel authorization and reimbursement form, to the Office of Public Records (OPR), Room 232 of the Hart Building, within thirty (30) days after the travel is completed.

1. Sponsor(s) of the trip (please list all sponsors): _____
The Software Education Foundation d.b.a Software.org: the BSA Foundation ("Software.org")
2. Description of the trip: An educational visit to software companies to provide a better understanding of the software industry's approach to cybersecurity
3. Dates of travel: October 22, 2018 - October 24, 2018
4. Place of travel: Sunnyvale and Oakland, CA (with site visits throughout the San Francisco Bay Area)
5. Name and title of Senate invitees: See attachment
6. I *certify* that the trip fits one of the following categories:
☒ (A) The sponsor(s) are not registered lobbyists or agents of a foreign principal **and** do not retain or employ registered lobbyists or agents of a foreign principal **and** no lobbyist or agents of a foreign principal will accompany the Member, officer, or employee *at any point* throughout the trip.
~~OR~~
☐ (B) The sponsor or sponsors are not registered lobbyists or agents of a foreign principal, but retain or employ one or more registered lobbyists or agents of a foreign principal and the trip meets the requirements of Senate Rule 35.2(a)(2)(A)(i) or (ii) (*see question 9*).
7. ☒ I *certify* that the trip will not be financed in any part by a registered lobbyist or agent of a foreign principal.
~~AND~~
☒ I *certify* that the sponsor or sponsors will not accept funds or in-kind contributions earmarked directly or indirectly for the purpose of financing this specific trip from a registered lobbyist or agent of a foreign principal or from a private entity that retains or employs one or more registered lobbyists or agents of a foreign principal.
8. I *certify* that:
☒ The trip will not in any part be planned, organized, requested, or arranged by a registered lobbyist or agent of a foreign principal except for *de minimis* lobbyist involvement.
~~AND~~
☒ The traveler will not be accompanied on the trip by a registered lobbyist or agent of a foreign principal except as provided for by Committee regulations relating to lobbyist accompaniment (*see question 9*).

9. **USE ONLY IF YOU CHECKED QUESTION 6(B)**

I *certify* that if the sponsor or sponsors retain or employ one or more registered lobbyists or agents of a foreign principal, one of the following scenarios applies:

☐ (A) The trip is for attendance or participation in a one-day event (exclusive of travel time and **one** overnight stay) and no registered lobbyists or agents of a foreign principal will accompany the Member, officer, or employee *on any segment* of the trip.

☐ OR ☐

☐ (B) The trip is for attendance or participation in a one-day event (exclusive of travel time and **two** overnight stays) and no registered lobbyists or agents of a foreign principal will accompany the Member, officer, or employee *on any segment* of the trip (*see questions 6 and 10*).

☐ OR ☐

☐ (C) The trip is being sponsored only by an organization or organizations designated under § 501(c)(3) of the Internal Revenue Code of 1986 and no registered lobbyists or agents of a foreign principal will accompany the Member, officer, or employee *at any point* throughout the trip.

10. **USE ONLY IF YOU CHECKED QUESTION 9(B)**

If the trip includes two overnight stays, please explain why the second night is practically required for Senate invitees to participate in the travel:

11. ☒ An itinerary for the trip is attached to this form. I *certify* that the attached itinerary is a detailed (hour-by-hour), complete, and final itinerary for the trip.

12. Briefly describe the role of each sponsor in organizing and conducting the trip:

Software.org is the sole sponsor and organizer for this trip.

13. Briefly describe the stated mission of each sponsor and how the purpose of the trip relates to that mission:

See attachment

14. Briefly describe each sponsor's prior history of sponsoring congressional trips:

This is Software.org's sixth trip for Congressional staff. Software.org co-sponsored a trip in 2018 for

Senate staff. This is the first trip for Senate staff for which Software.org is the sole sponsor.

15. Briefly describe the educational activities performed by each sponsor (other than sponsoring congressional trips):

Software.org educates policymakers, stakeholders, and the general public through meetings and briefings and by developing and publishing studies and papers that explain policy, new technologies, and other topics of the interest to the software industry.

16. Total Expenses for Each Participant:

	Transportation Expenses	Lodging Expenses	Meal Expenses	Other Expenses
<input checked="" type="checkbox"/> Good Faith estimate	\$815.15	\$367	\$190.00	None
<input type="checkbox"/> Actual Amounts	(\$440.15, airfare; \$375, coach bus transportation)	(\$208 Sunnyvale; \$159 Oakland)		

17. State whether a) the trip involves an event that is arranged or organized *without regard* to congressional participation or b) the trip involves an event that is arranged or organized *specifically with regard* to congressional participation:

This trip involves events that are arranged and organized specifically with regard to congressional participation.

18. Reason for selecting the location of the event or trip

Software.org chose San Francisco due to the number of software companies with major offices located in close proximity, allowing Software.org to better showcase the industry's approach to cybersecurity.

19. Name and location of hotel or other lodging facility:

Holiday Inn Sunnyvale, 852 W. El Camino Real, Sunnyvale, CA 94087
Inn at Temescal, 3720 Telegraph Ave., Oakland, CA 94609

20. Reason(s) for selecting hotel or other lodging facility:

The locations were chosen because their rates met the daily per diem rates for the jurisdictions in which they are located.

21. Describe how the daily expenses for lodging, meals, and other expenses provided to trip participants compares to the maximum per diem rates for official Federal Government travel:

The daily expenses for lodging and meals will be below the daily per diem rates for Sunnyvale and
Oakland, California.

22. Describe the type and class of transportation being provided. Indicate whether coach, business-class or first class transportation will be provided. If first-class fare is being provided, please explain why first-class travel is necessary:

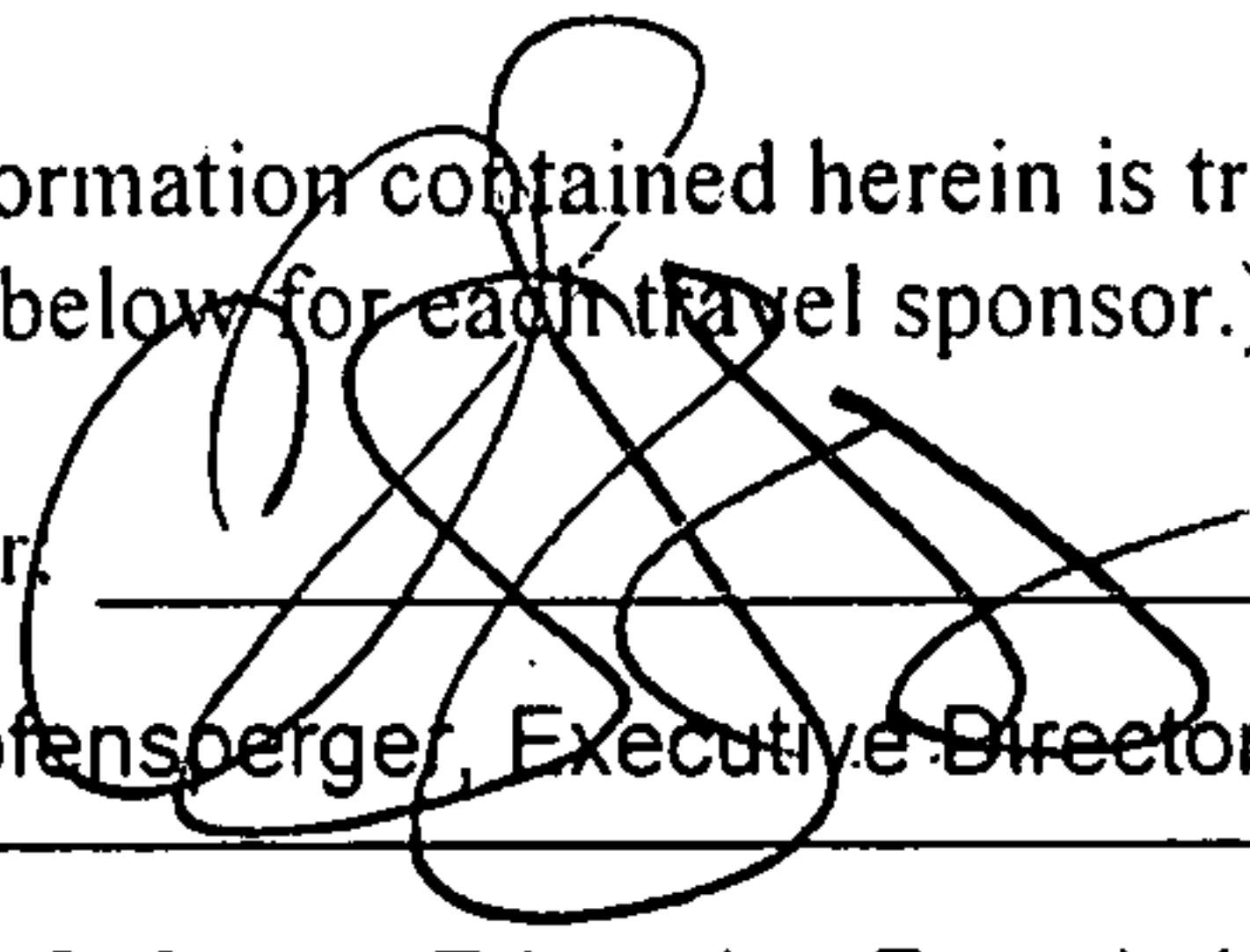
Round-trip coach airfare from Washington, DC (DCA) to San Francisco (SFO); ground transportation will
be provided by coach class charter bus.

23. ☒ I represent that the travel expenses that will be paid for or reimbursed to Senate invitees do not include expenditures for recreational activities or entertainment (other than entertainment provided to all attendees as an integral part of the event, as permissible under Senate Rule 35).

24. List any entertainment that will be provided to, paid for, or reimbursed to Senate invitees and explain why the entertainment is an integral part of the event:

none

25. I hereby *certify* that the information contained herein is true, complete and correct. (You must include the completed signature block below for each travel sponsor.):

Signature of Travel Sponsor: 

Name and Title: Chris Hopfensperger, Executive Director

Name of Organization: The Software Education Foundation d/b/a Software.org: the BSA Foundation

Address: 20 F Street, NW, 8th Floor, Washington, DC 20001

Telephone Number: 202-530-5135

Fax Number:

E-mail Address: chris@software.org



Day 1 (Monday, October 22)

- 6:30 AM Meet at gate, Ronald Reagan International Airport (DCA)
- 8:05 AM EST United Flight 2042 from DCA to San Francisco International Airport (SFO)
- 11:00 AM PST United 2042 arrives at SFO
- 12:00 PM Bus transport to Salesforce.
- 12:30 PM **Salesforce – Lunch Served at Salesforce**
Location: 350 Mission Street
- At Salesforce, attendees will meet with Eric Loeb, EVP for Policy, and Taher Elgami, CTO, Security, for an in-depth conversation about the company’s approach to cybersecurity, the threat landscape, and the role of public policy in promoting stronger cybersecurity solutions.
- 2:30 PM Depart Salesforce. Bus transport to Adobe.
- 3:00 PM **Adobe**
Location: 601 Townsend Street, San Francisco
- During the visit to Adobe, the delegation will meet with Brad Arkin, the company’s Chief Security Officer, to discuss the cyber threat landscape and how public policy impacts cybersecurity programs.
- 4:00 PM Depart Adobe. Bus transport to IBM.
- 4:30 PM **IBM**
Location: 425 Market Street, San Francisco
- During the visit to IBM, attendees will meet with the companies leading cybersecurity experts for an in-depth conversation on the cybersecurity environment and how IBM solutions, such as cognitive cybersecurity technologies, are helping companies stay secure. Attendees include Wendi Whitmore, Global Partner and Director, IBM X-Force Threat Intelligence, and Mitch Mayne, Public Information Officer, IBM X-Force Threat Intelligence.
- 5:30 PM Depart IBM. Bus transport to Bistro Boudin
- 6:00 PM Arrive at Bistro Boudin
- 6:00 PM **Dinner with Siemens Head of Cybersecurity**
Location: Bistro Boudin, 160 Jefferson Street, San Francisco
- Over dinner at Bistro Boudin, attendees will engage in a detailed conversation on Siemens’s approach to cybersecurity with Leo Simonovich, VP and Global Head, Industrial Cyber and Digital Security.



8:00 PM Dinner concludes. Attendees depart for Sunnyvale Hotel
Holiday Inn
Location: 852 W El Camino Real, Sunnyvale, CA 94087

9:00 PM Arrive at Sunnyvale Hotel.

Day 2 (Tuesday, October 23)

9:00 AM Group meets in hotel lobby.
Bring luggage to lobby and please check out.
Breakfast served in hotel lobby. Bus transport to Apple.

9:30 AM **Apple**
Location: 2 Apple Park Way, Cupertino, CA

During the visit to Apple's campus, attendees will meet with company executives for an in-depth conversation about the company's approach to cybersecurity, the current threat landscape, and public policy's impact on digital security. Ivan Krstic (Head of Security Engineering and Architecture) and George Stathakopoulos (VP of Corporate Information Security) will be speaking with the group.

11:00 AM Depart Apple. Bus transport to DIU.

11:30 AM **DIU – Lunch Served at DIU**
Location: 230 R.T. Jones Road, Mountain View, CA

At DIU's Mountain View headquarters, attendees will meet with DIU leadership to discuss the organization's mission and work. DoD established DIU to accelerate commercial innovation to the warfighter in order to meet the changing demands of today's strategic and technological environments. It is2 DIU's mission to lead DoD's break with past paradigms of military-technical advantage to become fast adapters -- as opposed to sole developers -- of technology, integrating the advanced commercial capabilities necessary for strategic advantage. In this hyper-competitive environment, DoD is moving to prioritize speed of delivery, rapid and modular upgrades, and quick operational adaptation on the battlefield. The delegation discussion will include a focus on cybersecurity and artificial intelligence, as well as a tour of the department's current work on counter Unmanned Aerial Systems. Attendees include Director Michael Brown; Ajay K. Amlani, CEO in Residence; Pavneet Singh, Defense Innovation Unit; Mike Kaul, Artificial Intelligence and Machine Learning Portfolio Lead; Zach Walker, IT Portfolio Lead, Austin Unit; Captain Rachel Kolesnikov-Lindsey, Space Portfolio; LtCol Mark Jacobsen, Program Manager, Rogue Squadron; and Jameson Darby, Autonomy Portfolio Lead.

1:30 PM Depart DIU. Bus transport to FireEye.

2:00 PM **FireEye**
Location: 601 McCarthy Blvd, Milpitas, CA 95035

At FireEye, attendees will meet with FireEye experts and executives to discuss the company's three-prong business structure and approach to cybersecurity. Speakers included Kevin Mandia, CEO; Vasu Jakkal, Chief Marketing Officer, FireEye; Ben Forster, Product Marketing Manager; Sean Underwood, Intelligence Principal, Government Accounts; and Charlie Baisley, Director of Strategic Alliances and Government Affairs.



3:30 PM Depart FireEye. Bus transport to Symantec.

4:00 PM **Symantec**
Location: 370 Ellis Street, Mountain View

Attendees will meet with Symantec executives for an in-depth conversation on the company's approach to cybersecurity and the cybersecurity landscape. Attendees include Steve Trilling, Senior Vice President and General Manager, Security Analytics and Research.

5:30 PM Depart Symantec. Bus transport to dinner with Workday.

6:30 PM **Dinner in Silicon Valley**
Location: Trader Vics Emeryville

Josh DeFigueiredo, Vice President, Chief Information Security Officer at Workday, had been invited to speak, but unfortunately had to cancel.

8:00 PM Depart dinner for Oakland Hotel – Inn at Temescal

8:30 PM Arrive at Inn at Temescal
Location: 3720 Telegraph Avenue, Oakland, CA 94609

Day 3 (Wednesday, October 24)

7:45 AM Group meets in hotel lobby. Bus transport to Microsoft.
Bring luggage to lobby and please check out. Takeaway breakfast served in hotel lobby

8:30 AM **Microsoft**
Location: 1355 Market Street, San Francisco

During the visit to Microsoft, attendees will meet with top cybersecurity officials for an in-depth conversation about the company's approach to cybersecurity and the company's defending democracy program. Invited Microsoft speakers include Kate O'Sullivan, General Manager, Digital Diplomacy; Jan Neutze, Director of Cybersecurity Policy, Digital Diplomacy; and Jamal Edwards, Policy Program Manager, Digital Diplomacy.

9:30 AM Depart Microsoft. Bus Transport to Okta.

10:00 AM **Okta**
Location: 300 Brannan Street, San Francisco

At Okta, attendees will meet with the company's senior cybersecurity executives to discuss the cyber threat environment, enterprise ID management, and access management, among other things. Speakers include Yassir Abousselham, Chief Security Officer for Okta, and Jon Runyan, General Counsel for Okta.



11:00 AM	Depart Okta. Bus transport to SFO.
12:00 PM	Check in at SFO.
1:15 PM PST	United Flight 2046 from SFO to DCA.
9:30 PM EST	United Flight 2046 lands at DCA.

**US Senate
Committee on Ethics**

Attachments to Private Sponsor Travel Certification Form

Attachment 1 – Question #5 – Name and title of Senate invitees.

Name	Title	Affiliation
Michael Kulken	National Security Advisor	Senate Minority Leader Charles Schumer
Robert Leonard	National Security Advisor	Senate Minority Whip Richard Durbin
Patrick Day	Counsel	Senate Judiciary Committee
Dan Lips	Policy Director	Senate Homeland Security and Government Affairs Committee
Julie Klein	Professional Staff Member	Senate Homeland Security and Government Affairs Committee
Chris Cook	Professional Staff Member for Subcommittee on Homeland Security	Senate Appropriations Committee
Andy Olson*	Senior International Finance Advisor	Senate Foreign Relations Committee

Attachment 2 – Question # 12 – Description of role of sponsor in organizing and conducting the trip

Software.org: the BSA Foundation is an independent and nonpartisan international research organization. This visit is an opportunity to share about the world's most innovative software companies and learn first-hand from leading industry experts about the industry's approach to cybersecurity. Software.org planned and organized all aspects of the trip, made lodging and travel arrangements, and corresponded with businesses involved to set up events and tours at each location. Software.org is the sole sponsor for this trip, including responsibility for covering cost of meals throughout the trip.

*The Senate Foreign Relations Committee (SFRC) will independently fund all travel costs and arrangements for Mr. Andy Olson. Software.org will purchase Mr. Olson's airfare and accommodations in a block with all other delegation participants, conferring a reduced rate. SFRC will reimburse the Foundation.

Attachment 3- Question # 13 – Description of stated mission of sponsor and how purpose of trip relates

Software.org: the BSA Foundation is an independent and nonpartisan international research organization that was launched in 2017 to educate policymakers and the broader public about the hugely positive impact that software has on our lives, our economy, and our society. With headquarters in Washington, DC, Software.org works to empower the workforce of the future and to help policymakers, stakeholders, and the technology industry look forward and prepare for the world of tomorrow.

In support of our policy agenda, Software.org is embarking on this trip as part of an ongoing effort to create more opportunities for education and providing hands-on experience to further congressional staff's understanding of the industry's approach to cybersecurity.

The trip to San Francisco will include unique visits to several global software companies. It is our goal that participants will gain greater insight into how the software industry is securing digital assets, innovating to adapt to the modern threat environment, and learn how public policy can play a role in enhancing our country's cybersecurity programs. This will be accomplished through site visits to the San Francisco and Silicon Valley locations of Adobe, Apple, DJU, IBM, Microsoft, Okta, Symantec, Workday including in-depth, on premises conversations with the company's senior cybersecurity experts.